

H/3

Atty Docket No. 020995-000110US

PTO FAX NO.: 703-746-7239

ATTENTION: Examiner
TELEPHONE NO.:

Group Art Unit 2152

**OFFICIAL COMMUNICATION
FOR THE PERSONAL ATTENTION OF
Box Missing Parts
CERTIFICATION OF FACSIMILE TRANSMISSION**

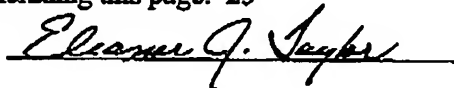
I hereby certify that the following documents in re Application of Charles J. Kring et al., Application No. 10/057,827, filed January 25, 2002 for A METHOD AND SYSTEM FOR POLICY BASED MANAGEMENT OF MESSAGES FOR MOBILE DATA NETWORKS is being facsimile transmitted to the Patent and Trademark Office on the date shown below.

Document(s) Attached

1. Transmittal Form (1pg);
2. Fee Transmittal Form (1pg);
3. Petition for Extension of Time (4mos)(1pg);
4. Petition Under 37 CFR § 1.47(a) (3 pgs);
5. Appendices A, B & C - Executed Declaration (8 pgs);
6. Appendix D - Declaration of Facts Relating to Nonsigning Inventor (4 pgs);
7. Appendix E - Supplemental Application Data Sheet (5 pgs);
8. Copy of Notice to File Missing Parts of Application (1pg).

Number of pages being transmitted, including this page: 25

Dated: August 20, 2002



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TOWNSEND and TOWNSEND and CREW LLP
Two Embarcadero Center, 8th Floor
San Francisco, CA 94111-3834
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PA 3244579 v1

Please type a plus sign (+) inside this box → ☒

PTO/SB/21 (08-00)


Approved for use through 10/31/2002. OMB 0651-0031


U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	10/057,827
	Filing Date	January 25, 2002
	First Named Inventor	Kring, Charles J.
	Group Art Unit	2152
	Examiner Name	Unassigned
Total Number of Pages in This Submission	Attorney Docket Number	020995-000110US

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input checked="" type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Executed Declaration; Statement of Facts Petition Suppl. Application Data Sheet Copy of Notice to File Missing Parts Facsimile Transmittal Form
Remarks		The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm and Individual name	Townsend and Townsend and Crew LLP Richard T. Ogawa Reg. No. 37,692
Signature	
Date	August 20, 2002

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being facsimile transmitted to the Patent and Trademark Office, Fax No. (703) 703-746-7239 on August 20, 2002	
Typed or printed name	Eleanor J. Taylor
Signature	
Date	August 20, 2002

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231, PA 3244858 v1

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FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 1294

Complete if Known

Application Number 10/057,827
Filing Date January 25, 2002
First Named Inventor King, Charles J.
Examiner Name Unassigned
Group Art Unit 2152
Attorney Docket No. 020995-000110US

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit Card ☐ MoneyOrder ☐ Other ☐ None

☒ Deposit Account:
Deposit Account Number 20-1430
Deposit Account Name Townsend and Townsend and Crew LLP

The Commissioner is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☐ Credit any overpayments

☒ Charge any additional fee(s) during the pendency of this application

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid
		101	740	Utility filing fee	370
		106	330	Design filing fee	
		107	510	Plant filing fee	
		108	740	Reissue filing fee	
		114	160	Provisional filing fee	
SUBTOTAL (1)					(\$370)

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims 21 -20** = 1 Extra Claims Fee from below X \$9 = \$9

Independent Claims 2 -3** = 0 X \$42 = \$0

Multiple Dependent

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid
		103	18	Claims in excess of 20	
		102	84	Independent claims in excess of 3	
		104	280	Multiple dependent claim, if not paid	
		109	84	** Reissue independent claims over original patent	
		110	18	** Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)					(\$9)

**or number previously paid, if greater; For Reissues, see above

3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid
105	130	206	65	Surcharge - late filing fee or oath	65
127	60	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	138	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
116	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	820	217	480	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	720
128	1,860	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	480	243	230	Design issue fee	
144	820	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	180	126	180	Submission of Information Disclosure Sheet	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
148	740	248	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
168	900	168	900	Request for expedited examination of a design application	
Other fee (specify) _____ Petition Fee					130
*Reduced by Basic Filing Fee Paid					
SUBTOTAL (3)					(\$915)

SUBMITTED BY

Name (Print/Type)	Registration No. (Attorney/Agent)	Telephone
Richard Y. Osawa	37,892	850-328-2400
Signature	Date	August 20, 2002

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WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/057,827	01/25/2002	Charles J. Kring	020995-000110US

CONFIRMATION NO. 5468

20350
TOWNSEND AND TOWNSEND AND CREW, LLP
TWO EMBARCADERO CENTER
EIGHTH FLOOR
SAN FRANCISCO, CA 94111-3834

FORMALITIES LETTER



0C000000007498380

Date Mailed: 02/20/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

11/01/2002 NTEKLEMI 00000013 201430 10057827

01 FC:2202 9.00 CH

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 370 to complete the basic filing fee for a small entity.
- Total additional claim fee(s) for this application is \$9.
 - \$9 for 1 total claims over 20.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 444.

11/01/2002 NTEKLEMI 00000006 201430 10057827

01 FC:2001 370.00 CH
02 FC:2051 65.00 CH*A copy of this notice **MUST** be returned with the reply.*
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE